**Finance and Governance Board - SRS Audit Update**

**DATE: May 6th, 2025**

**SUBJECT: 2024-25 Audit Plan Update**

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

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| **1.** | **Area Affected** |
| 1.1 | County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council. |
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| **2.** | **Purpose of Report** |
| 2.1 | To update on the progress of the audit plan 2024-25, open actions and exceptions.  |
|  |  |
| **3.** | **Current audit action position** |
| 3.1 | The status of open audit actions for the SRS is shown in the table below.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Owner** | **Audit Name** | **Issues identified** | **Total Open** | **H** | **M** | **L** | **Planned End Date** | **Partner(s)** | **Status** |
| SRS | ITSCM - 24008 | 6 | 6 | 2 | 4 |  | September 2025 | All | Open |

The 2 High actions are in relation to the testing of the DR and BC plans, these were delayed due to staff issues, whilst tabletop exercises have continued to be carried out the live exercises are now scheduled to be completed by the end of June 2025.The following actions are allocated to Gwent Police. The status of open audit actions for Gwent Police is shown in the table below. The last update was provided by Matt Coe, Gwent Police during F+G in March 2025.

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| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Owner** | **Audit Name** | **Issues identified** | **Total Open** | **H** | **M** | **L** | **Planned End Date** | **Partner(s)** | **Status** |
| Gwent Police Estates and Facilities | Gwent Police Audit – Data Hall | 9 | 8  | 3 | 5 |  | November 2024 | Gwent Police | Open |

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|  |  |
| **4.** | **Audit plan changes** |
| 4.1 | None reported currently. |
|  |  |
| **5.** | **Audit Year – 2024-25** |
| 5.1 | The 24/25 audit plan progress is shown in the table below.

|  |  |  |  |
| --- | --- | --- | --- |
| **Audits**  | **Type of audit** | **Planned Quarter** | **Update** |
| Active Directory | Follow Up | Complete | Full assurance |
| Application Integration Service | Full System | Complete | Full assurance |
| Business Management | Full System | Complete | Full assurance |
| Gwent Police Audit - Data Centre  | Full System | Complete | Moderate assurance |
| HALO – Service Management | Follow Up | Complete | Full assurance |
| Information Security Management System | Full System | Complete | Full assurance |
| IT Disposals | Full System | Complete | Substantial assurance |
| IT Service Continuity Management | Full System | Complete | Substantial |
| Mobile Computing | Full System | Complete | Full assurance |
| Software Asset Management | Full System | Complete | Full assurance |
| Solarwinds – Network service management | Follow Up | Complete | Full assurance |

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|  | There was full completion of the Audit Plan with no planned assurance work deferred. Partners have a complete picture of SRS’s control environment with no gaps. **Audit Year – 2025-26****2025/26 Internal Audit Plan (as agreed) include:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Audit Type | Audit | QTR | Status | Update |
| FULL | Change Management | 2 |  |  |
| FULL | Cybersecurity | 4 |  |  |
| FULL | Firewall | 4 |  |  |
| FULL | Identity and Access Management | 1 | In Progress |  |
| FUP | Information Security Management System | 1 | Complete | Full Assurance |
| FUP | IT Disposals | 3 |  |  |
| FUP | IT Service Continuity Management | 3 |  |  |
| FUP | Mobile Computing | 2 | In Progress |  |
| FULL | O365 | 4 |  |  |
| FULL | Virtualisation | 4 |  |  |
| FUP | Data Centre – GPA | 2 |  |  |

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| **6.** | **Monitoring and Evaluation** |
| 6.1 | The audit process is monitored by the Deputy COO / Director of Operations. |