

Audit Exception Dashboard

Audit Actions	Reference	Number	Local Authority Exception Description	Gwent Police Exception Description
Governance	OGS5	1	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Nov 2021	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Nov 2021
Email	ISS.4	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
IT Service Continuity Management	9 recommendations	9	Due to a delay in the approval of funding for the BCM roles and the BCM programme through the Finance and Governance Board all of the ITSCM recommendation dates have been pushed back. The Finance and Governance Board agreed these changes of dates at the August 20th Board meeting. The new dates are reflected in this document. This decision to delay has implications for a number of other audits that are highlighted within this document but were only changed when the dates arrived rather than when the delay occurred. The detailed analysis is contained within the report and being monitored at the ISLB.	Due to a delay in the approval of funding for the BCM roles and the BCM programme through the Finance and Governance Board all of the ITSCM recommendation dates have been pushed back. The Finance and Governance Board agreed these changes of dates at the August 20th Board meeting. The new dates are reflected in this document. This decision to delay has implications for a number of other audits that are highlighted within this document but were only changed when the dates arrived rather than when the delay occurred. The detailed analysis is contained within the report and being monitored at the ISLB.
Virtualisation	ISS.5	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
	ISS.8	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
Identity and Access Management	ISS.2	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
	ISS.4	1	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 31/12/2022	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 31/12/2022
Cyber security	ISS.11	MA	During the Follow Up audit this has been raised for Management attention. A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete.
	ISS.3 (previously ISS.1)	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
	ISS.29	MA	During the Follow Up audit this has been raised for Management attention. A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete
	ISS.1 (previously ISS.3)	1	A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021	Complete

	ISS.4	MA	<p>During the Follow Up audit this has been raised for Management attention.</p> <p>A SIEM solution for managing security incidents is required to complete this action and was requested by the SRS to the Finance and Governance Board on June 25th. Minutes from the meeting show this was agreed to be actioned to go to ISLB on July 18th where the action has remained until January 2020 when the SRS requested it be progressed again. The SRS are currently looking into options for SIEM solutions. Bridewell and SRS have completed the specification for a SOC/SIEM. This went to tender in February 21 and the contract awarded in Nov 2021. Implementaton due to start in Dec 2021</p>	Complete
--	-------	----	--	----------