

Audit Exception Dashboard

Audit Actions	Reference	Number	Local Authority Exception Description	Gwent Police Exception Description
Governance	OGS5	1	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Dec 2022	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. Next update due Dec 2022
Email	ISS.4	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
Virtualisation	ISS.5	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.8	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
Identity and Access Management	ISS.2	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.4	1	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 30/11/2022	FIAM Servers is a deliverable in the ITSCM audit. This will be tested as part of the live exercise which is scheduled for 30/11/2022
Cyber security	ISS.11	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete.
	ISS.3 (previously ISS.1)	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.29	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.1 (previously ISS.3)	1	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.4	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete