**SRS Audit Update**

**DATE: Finance and Governance Board, March 5th, 2023**

**SUBJECT: 2023-24 Audit Plan Update**

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

|  |  |  |
| --- | --- | --- |
| **1.** | | **Area Affected** |
|  | |  |
| 1.1 | | County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council. |
|  | |  |
| **2.** | | **Purpose of Report** |
|  | |  |
| 2.1 | | To update on the progress of the audit plan 2023-24, open actions and exceptions. |
|  | |  |
| **3.** | **Current audit action position** | |
| 3.1 | Actions Update   * Local Authority Partners   There are a total of 2 new open actions for all partners which are to be referred to ISLB in April 2024.   * Gwent Police   There are a total of 2 new open actions for all partners which are to be referred to ISLB in April 2024. | |
| 3.2 | The current audit actions activity for open actions is shown in the table below.   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | **Audit Name** | **Current Open Actions** | **Planned End Date** | **Owner** | **Partner** | **RAG status** | | **IS Governance** | 2 | April 2024 | Partners | All |  | | |
| **4.** | **Audit plan changes** | |
| 4.1 | None reported currently. | |
|  |  | |
| **5.** | **Planned Audits – 2023-24** | |
|  |  | |
| 5.1 | The current audit plan status is shown in the table below.   |  |  |  |  | | --- | --- | --- | --- | | **Audits** | **Type of audit** | **Status** | **Update** | | Active Directory | Full System | Planned for Q4 |  | | Firewall | Follow Up | In progress |  | | Identity and Access Management | Follow Up | In progress |  | | HALO | SPL | In progress |  | | SolarWinds | Full System | Fieldwork |  | |  | | | | | EdTech | Follow Up | Complete | Risk for Local Authorities raised at F+G June 2023 | | Application Support | Follow Up | Complete | Full Assurance | | CCTV Control Centre | Full System | Complete | Full Assurance | | O365 | Follow Up | Complete | Full Assurance | | Change Management | Follow Up | Complete | Full Assurance | | Telephony | Full System | Complete | Full Assurance | | IT Governance | SPL | Complete | Substantial. | | |
|  |  | |
| **6.** | **Monitoring and Evaluation** | |
|  |  | |
| 6.1 | The audit process is monitored by the Deputy COO / Director of Operations. | |