**SRS Audit Update**

**DATE: Finance and Governance Board, September 3rd, 2024**

**SUBJECT: 2024-25 Audit Plan Update**

Report Submitted by: Matt Lewis (COO SRS)

Report Written by: Kath Beavan-Seymour (Deputy COO SRS)

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| **1.** | **Area Affected** |
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| 1.1 | County borough wide for Blaenau Gwent County Borough Council, Gwent Office of the Police and Crime Commissioner, Newport City Council, Monmouthshire County Council and Torfaen County Borough Council. |
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| **2.** | **Purpose of Report** |
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| 2.1 | To update on the progress of the audit plan 2024-25, open actions and exceptions. |
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| **3.** | **Current audit action position** |
| 3.1 | Actions Update   * Local Authority Partners   There are a total of 2 open actions. Both are allocated to the SRS and in progress.   * Gwent Police   There are a total of 11 open actions, 9 of which are new and for a Gwent Police only audit. Of the 11, 3 are allocated to the SRS (1 completed 30/8). There are 8 that have been agreed by and allocated to Gwent Police Estates & Facilities Management team and are in progress. |
| 3.2 | The current audit actions activity for open actions is shown in the table below.   |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | | **Audit Name** | **Total Open Actions** | **H** | **M** | **L** | **Planned End Date** | **Owner** | **Partner** | **Status** | | **Solarwinds** | 1 |  | 1 |  | September 2024 | SRS | All | On track | | **Information Security Management System (ISMS)** | 1 |  | 1 |  | December 2024 | SRS | All | On track | | **Gwent Police Audit – Data Hall** | 8 | 3 | 5 |  | November 2024 | Gwent Police | Gwent Police | New | | **Gwent Police Audit – Data Hall** | 1 |  | 1 |  | August 2024 | SRS | Gwent Police | Complete | |
| **4.** | **Audit plan changes** |
| 4.1 | None reported currently. |
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| **5.** | **Audit Year – 2024-25** |
| 5.1 | The 24/25 audit plan is shown in the table below.   |  |  |  |  | | --- | --- | --- | --- | | **Audits** | **Type of audit** | **Planned Quarter** | **Update** | | Active Directory | Follow Up | 3 |  | | Application Integration Service | Full System | Issued |  | | Business Management | Full System | In Process | Draft report received – Full assurance | | Data Centre – GPA | Full System | Complete | Moderate assurance | | HALO – Service Management | Follow Up | 3 |  | | Information Security Management System | Full System | Complete | Full Assurance | | IT Disposals | Full System | 4 |  | | IT Service Continuity Management | Full System | 4 |  | | Mobile Computing | Full System | 2 |  | | Software Asset Management | Full System | 3 |  | | Solarwinds – Network service management | Follow Up | 3 |  | |
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| **6.** | **Monitoring and Evaluation** |
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| 6.1 | The audit process is monitored by the Deputy COO / Director of Operations. |