

**Audit Exception Dashboard**

<b>Audit Actions</b>	<b>Reference</b>	<b>Number</b>	<b>Local Authority Exception Description</b>	<b>Gwent Police Exception Description</b>
Governance	OGS5	1	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. This exception will be closed as it sits on the risk register.	The MOU rewrite request was made by the Strategic Board, the request was allocated to the Finance and Governance Board for action and update. At the time of support services transfer, the MOU rewrite / refresh had not occurred. The COO is now working with Torfaen to complete this task. This exception will be closed as it sits on the risk register.
Email	ISS.4	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
Virtualisation	ISS.5	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.8	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
Identity and Access Management	ISS.2	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
Cyber security	ISS.11	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete.
	ISS.3 (previously ISS.16)	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.29	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.1 (previously ISS.30)	1	This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete
	ISS.4	MA	During the Follow Up audit this has been raised for Management attention. This will be tested in the Cyber Security / SIEM/SOC audit in Q4.	Complete