

JOINT AUDIT COMMITTEE FORWARD WORK PLAN 2022/23

FORCE DEADLINE DATE = TBC

MEETING DATE = TBC

Force Reports

JUNE

Joint Risk Register (quarterly)
Update on accounts Lessons Learned Action Plan (every meeting since 31st July 18)

TCBC SRS Internal Audit Reports (quarterly)
TCBC SRS Quarterly Update Report on Progress (quarterly) (agreed August 2019 - see email from Mike Corcoran to NS saved in JAC/Correspondence)

Outstanding Audit Recommendations Report (quarterly)

HQ Update (every meeting) could come off if HQ now finished
Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)

TCBC SRS Annual Audit Plan for 2022/23

TCBC Annual Report on SRS Audits 2021/22

Force Gifts & Hospitality Procedure (not needed unless any changes have been made - reviewed every 3 years - due 2022)

Financial Performance Report (Six monthly)

Draft Accounts (every June)

MTFP (check if needed)

Risk Management Strategy (reviewed annually)

Force Anti-Fraud and Corruption Policy (check when last due - poss sept 2021)

Update on Force Management Statement

Update needed on the developments in risk/governance identified at end of the TIAA Update report (can be done via email outside of the meeting)

OPCC Reports

Pre-meet to start at 9am with audit

Internal Audit (TIAA) Update Report (quarterly)

Audit Wales Update Report (quarterly)
Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)

Internal Audit (TIAA) Annual Report 2021/22

OPCC Gifts and Hospitality Policy (every 4 years unless changes have been made inbetween. Next due 2023)
Treasury Management Strategy End of Year Report (Annual)

Final Draft Joint Annual Governance Statement (statutory)

JAC Draft Annual Report including ToR compliance, self assessment results and proposed self-assessment

OPCC Anti Fraud and Corruption Policy (check when last due as delayed in 2021 - poss Sept)

Update on Gwent progress – 2 year programme to achieve financial excellence in policing. Check with CFO if update to be provided

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ACCOUNTS ONLY MEETING

JULY

<u>Force Reports</u>	<u>OPCC Reports</u>
Final Statement of Accounts (including final AGS) Update on Accounts Learning the Lessons Action Plan (every meeting)	Final JAC Annual Report Audit Wales ISA 260
Police Operating Model - refresher	

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SEPTEMBER

<u>Force Reports</u>	<u>OPCC Reports</u>
<p>Joint Risk Register (quarterly)</p> <p>Update on accounts Lessons Learned Action Plan (every meeting since 31st July 18)</p> <p>TCBC SRS Internal Audit Reports (quarterly)</p> <p>TCBC SRS Quarterly Update Report on Progress (quarterly) (agreed August 2019 - see email from Mike Corcoran to NS saved in JAC/Correspondence)</p>	<p>Internal Audit (TIAA) Update Report (quarterly)</p> <p>Audit Wales Update Report (quarterly)</p> <p>JAC Self-Assessment Action Plan (quarterly)</p> <p>Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)</p>
<p>Outstanding Audit Recommendations Report (quarterly)</p> <p>HQ Update (every meeting) could come off if HQ now finished</p> <p>Disaster Recover Progress Update (every meeting) Check if finished - can be removed if it is</p>	<p>OPCC Business Interests Policy & Procedure (every 4 years - next due 2024 unless changes have been made in the interim)</p> <p>Information Governance Annual Report 2021/22 (suggest circulated outside of meeting as data protection is not within ToRs)</p> <p>Ensure meeting dates are set for 2023/23 - add to AOB</p>
<p>Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)</p> <p>SRS Annual Report 2021/22</p> <p>Force Business Interests Policy (every 2 years - went to JAC Sept 2020 - due Sept 2022 unless changes have been made in the interim)</p> <p>MTFP & Budget Setting Timetable</p> <p>Information Governance Annual Report 2021/22 (suggest circulated outside of meeting as data protection is not within ToRs)</p> <p>Update needed on the developments in risk/governance identified at end of the TIAA Update report (can be done via email outside of the meeting)</p>	<p>Reserves Report (statutory)</p> <p>Update on Gwent progress – 2 year programme to achieve financial excellence in policing. Check with CFO if update to be provided</p>

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* Please note that all reports assist in the PCCC evidencing the statutory function of holding the CC to account and are required. The reports marked statutory help us meet a specific requirement

DECEMBER

<u>Force Reports</u>	<u>OPCC Reports</u>
Joint Risk Register (quarterly)	Internal Audit (TIAA) Update Report (quarterly)
Update on accounts Lessons Learned Action Plan (every meeting since 31st July 18)	Audit Wales Update Report - to include update on fees (quarterly)
TCBC SRS Internal Audit Reports (quarterly)	JAC Self-Assessment Action Plan (quarterly)
TCBC SRS Quarterly Update Report on Progress (quarterly) (agreed August 2019 - see email from Mike Corcoran to NS saved in JAC/Correspondence)	Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)
Outstanding Audit Recommendations Report (quarterly)	Commissioning Update - Annual (ask DGP what he wants to give JAC)
HQ Update (every meeting) could come off if HQ now finished	Treasury Management Strategy 2023/24
Disaster Recover Progress Update (every meeting) Check if finished - can be removed if it is	Treasury Management Strategy 2022/23 Six Month Update Report
Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)	Audit Wales Annual Audit Letter
Financial Performance Report (six monthly)	Audit Wales Management Letter
Budget Setting Process	Update on Gwent progress – 2 year programme to achieve financial excellence in policing. Check with CFO if update to be provided
Annual report on Compliance with the Code of Ethics (Report should have been presented to SPB in November)	
Update needed on the developments in risk/governance identified at end of the TIAA Update report (can be done via email outside of the meeting)	
DEEP DIVE = VALUE FOR MONEY PROFILES (EVERY DECEMBER)	

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*** ALL JAC ANNUAL PERFORMANCE REVIEWS NEED TO TAKE PLACE POST MARCH MEETING**

MARCH

Force Reports	OPCC Reports
Joint Risk Register (quarterly) Update on accounts Lessons Learned Action Plan (every meeting since 31st July 18)	Internal Audit (TIAA) Update Report (quarterly) Audit Wales Update Report - to include update on fees (quarterly)
TCBC SRS Internal Audit Reports (quarterly)	JAC Self-Assessment Action Plan (quarterly) Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg
TCBC SRS Quarterly Update Report on Progress (quarterly) (agreed August 2019 - see email from Mike Corcoran to NS saved in JAC/Correspondence)	HMIC/ICO (quarterly) Manual of Corporate Governance Annual Review (to include review of JAC ToR) (Statutory)
Outstanding Audit Recommendations Report (quarterly)	TIAA Internal Audit Strategy 2023/24
HQ Update (every meeting) could come off if HQ now finished	Draft Joint Annual Governance Statement
Disaster Recover Progress Update (every meeting) Check if finished - can be removed if it is	Audit Wales Audit Plan 2023/24
Any feedback of relevance to JAC for inclusion under 'Relevant Reports from Other Organisations' eg HMIC/ICO (quarterly)	Circulate Self-Assessment forms for completion (also circulate last years completed template for comment) and send back to NW for collation into report to be presented at the June meeting.
Asset Management Strategy 2019-21(a report is only required if the 2019-2021 strategy has been reviewed, amended or has expired since the OPCC last saw the strategy in March 2019) SHOULD HAVE BEEN PRESENTED TO JAC IN MARCH 2022	Update on Gwent progress – 2 year programme to achieve financial excellence in policing. Check with CFO if update to be provided
TCBC SRS Internal Audit Strategy 2023/24	Appointment of Chair Appointment of Vice-Chair Verbal Budget Setting Update Circulate forward work plan for coming year to JAC members